

CERTIFICATE OF MAILING (PATENT APPLICATION)

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Deposited: June 10, 2004

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Application of:

Ivan Osorio, et al.

Application No.:

10/687,389

Filing Date:

October 15, 2003

Title:

Scoring Of Sensed Neurological Signals For Use With A Medical Device

System

Transmitted herewith are the following documents:

X Transmittal Form (1 page) in duplicate

X Supplemental Information Disclosure Statement (2 pages)

X Form PTO/SB/08A and B (2 pages) without U.S. patent references

<u>X</u> PCT International Search Report (5 pages)

X Return Postcard

Attorney Case No.:

011738.00139

06-11-04

PTO/SB/21 (02-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TDANGMITTAL			Application Number	10/687,389	
TRANSMITTAL FORM			Filing Date	October 15, 2003	
			First Named Inventor	Ivan Osorio	
(to be used for all correspondence after initial filing)			Art Unit	3736	
			Examiner Name	Not assigned	
Total Number of Page	s in This Submission		Attorney Docket Number	011738.00139	
		ENCLO	SURES (check all that apply)		
Fee Transmittal	Form	☐ Drawi	ng(s)	After Allowance Communication to Group	
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Amendment / Re	eply	Petitio	on	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
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Affidavits/de	claration(s)		r of Attorney, Revocation ge of Correspondence Address	Status Letter	
Extension of Tim	ne Request	☐ Termi	nal Disclaimer	Other Enclosure(s) (please identify below):	
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Firm or Individual name	Binal J. Patel				
Signature		12/			
Date June 10, 2004					
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (Attorney Docket No. 011738.00139)

In the Application of:

Ivan Osorio, et al.

Serial No. 10/687,389

Filed: October 15, 2003

For: SCORING OF SENSED NEUROLOGICAL SIGNALS)
FOR USE WITH A MEDICAL DEVICE SYSTEM

Confirmation No. 2112

Group Art Unit: 3736

Examiner: Not assigned

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R., 1.97 and 1.98, the Applicant wishes to make the following references of record in the above-identified application. This Supplemental Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. § 1.56. Copies of the U.S. patent references cited below are not enclosed. The references are also listed on the enclosed and completed Form PTO/SB/08A.

REFERENCES

U.S. Patent Documents

Patent No.	Inventor	Date Granted
6,366,814	Boveja, et al.	04/02/2002
6,587,727	Osorio, et al.	07/01/2003
6,597,954	Pless, et al.	07/22/2003

Other Prior Art

1. PCT International Search Report.

Respectfully submitted, BANNER & WITCOFF, LTD

Dated: June 10, 2004

Binal J. Patel Reg. No. 42,065

PTO/SB/08a (08-03)

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011738.00139

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Attorney Docket Number

Substitute for form 1449A/PTO

Complete if Known

Application Number 10/687,389

Filing Date October 15, 2003

First Named Inventor Ivan Osorio

Art Unit 3736

(Use as many sheets as necessary)

Examiner Name Not assigned

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Sheet

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	U.S. PATENT DOCUMENTS						
Examiner	Cite No.1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant		
Initials *	No.1	Number - Kind Code ² (if known)	MM-DD-YYYY		Passages or Relevant Figures Appear		
		US-6,366,814	04/02/2002	Boveja, et al.			
		US- 6,587,727	07/01/2003	Osorio, et al.			
		US- 5,597,954	07/22/2003	Pless, et al.			
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	FOREIGN PATENT DOCUMENTS							
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	Substitute for form 1449B/PTO					Complete if Known		
	INICO		ON DIG		Application Number	10/687,389	•	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Filing Date	October 15, 2003		
					First Named Inventor	Ivan Osorio		
					Art Unit	3736		
		(Use as ma	ny sheets as	s necessary)	Examiner Name	Not assigned		
$\overline{}$	Sheet	2	of	2	Attorney Docket Number	011738.00139		

		NON PATENT LITERATURE DOCUMENTS	,
Examiner Initials_*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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